

## 實貨股票存入表格 Physical Scrip Deposit Form

### 客戶資料 Client Information

客戶名稱 Client Name : \_\_\_\_\_

客戶號碼 Client Number : \_\_\_\_\_

戶口類別 Account Type :  現金帳戶 Cash Account  保證金帳戶 Margin Account

請將下列股票存入在本人/吾等於貴公司的證券帳戶內。  
Please deposit and transfer the following stock(s) into my/our security account with your company.

### 股票資料 Stock Details

股票代號 Stock Code	股票名稱 Stock Name	登記持有人姓名 Registered Holder Name	股票編號 Certificate Number		合共數量 Total Quantity
			from 由	to 至	
		<input type="checkbox"/> Client 客戶 <input type="checkbox"/> CCASS			
		<input type="checkbox"/> Client 客戶 <input type="checkbox"/> CCASS			
		<input type="checkbox"/> Client 客戶 <input type="checkbox"/> CCASS			

### 重要啟示 Important Notes To Client

- 如股票登記持有人為中央結算有限公司，客戶須提供提貨收據或其他文件，證明其擁有權。此外，客戶亦可先將股票轉入其名下，才將股票存入本公司。否則，本公司將不接受該股票存入。If the registered holder of the stock is in the name of CCASS, client is required to provide the Company with the withdrawal receipt or other documents evidencing his/her ownership of the stock. Alternatively, client may transfer the stock into his/her own name before depositing the same with the Company. Otherwise, the Company will not accept the deposit of the stock.
- 由於上述存入的股票需待中央結算有限公司和有關股份過戶及登記處驗證，方可作實，故此於存入股票後起計，本公司需要最少十個工作天核對股票資料。如客戶於存入股票後即時沽出股票或於驗證完成前沽出股票，所賣出股票款項將會存入股票後起計十個工作天後支付給客戶。如客戶沽出股票後即運用該款項買入其他股票，本公司有權決定是否批准買入交。Since the transfer of the stock is valid subject to the clearance by CCASS and the relevant share registrar(s), the Company requires at least ten working days after the deposit for verification. Therefore, if client sell the stock immediately after the deposit or before the clearance of the transfer, the sale proceeds will only be payable to client ten working days after the deposit. If client immediately uses the sale proceeds to purchase other stock, our company reserve the right to accept or reject the purchase order.
- 客戶同意支付所有印花稅及其他有關費用，所有費用均不可退還。Client undertakes to pay all transfer deed fees and any other relevant fees which are non-refundable.
- 客戶同意補償本公司因上述股票存入之一切損失及責任。Client undertakes to indemnify the Company against all liabilities and losses what over incurred by the Company in connection with the stock deposit.

### 聲明與簽署 Declaration and Signature(s)

本人/吾等確認以上資料均屬正確無誤 I/We hereby confirm that the above information is correct.



Client Signature(s) 客戶簽署 \_\_\_\_\_

日期 Date: \_\_\_\_\_

請將填妥之表格正本交予本公司。Please submit the completed Original form to us.

公司專用 FOR OFFICE USE ONLY				
Signature Checked by		Date:	Charges 收費:	
Checked by		Date:	Transfer deed fee 印花稅	\$
Approved by		Date:	Scrip fee 轉名費	\$
Remarks			Others 其他	\$
			Total 合共	\$